5035703303

PAGE 01/15

SEP 1 5 2006

PTO/SE/21 (07-06)
Approved for use through 09/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to mercond to a collection of information unless it displays a valid OMB control number. Application Number 09/929,236 TRANSMITTAL Filing Date August 13, 2001 First Named Inventor **FORM** Stephen F. Gass Art Unit 3724 Examiner Name Boyer D. Ashley (to be used for all correspondence after initial filling) Attorney Docket Number SDT 307 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Identify × Terminal Disclaimer Extension of Time Request below): 1. Request for Reconsideration of a Decision Request for Refund Express Abandonment Request Dismissing a Petition to Withdraw a Holding of Abandonment. CD, Number of CD(s) Information Disclosure Statement 2. Copy of electronic docket. Landscape Table on CD Certified Copy of Priority Remarks Document(s) Repty to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name SD3, LLC Signature Printed name David A. Fanning Date Reg. No. 33,233 September 15, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date September 15, 2006 David A. Fanning Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PAGE 02/15

SEP 1 5 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Date: September 15, 2006

STEPHEN F. GASS, DAVID S. D'ASCENZO ANDREW L. JOHNSON, JOEL F. JENSEN, SUNG H. KIM and ANWYL M. MCDONALD

Serial No.: 09/929,236

Examiner Boyer D. Ashley

Filed: August 13, 2001

Group Art Unit 3724

For: REPLACEABLE BRAKE MECHANISM FOR POWER EQUIPMENT

To: Director of the United States Patent and Trademark Office

P.O. Box 1450

Alexandria, Virginia 22313-1450

REQUEST FOR RECONSIDERATION OF A DECISION DISMISSING A PETITION TO WITHDRAW A HOLDING OF ABANDONMENT

Applicant requests reconsideration of a decision mailed July 17, 2006, dismissing a petition to withdraw a holding of abandonment. The above-identified application went abandoned for failure to respond to a Notice of Non-Compliant Amendment purportedly mailed April 29, 2004. Applicant, however, never received the Notice and therefore petitioned to revive the application. The petition was dismissed because applicant did not include with the petition a copy of the docket record where the non-received Notice would have been entered had it been received and docketed. Applicant now requests reconsideration of the dismissal and is submitting the requested docket record in support of the request.

Page 1 - REQUEST FOR RECONSIDERATION OF A DECISION DISMISSING A PETITION TO WITHDRAW A HOLDING OF ABANDONMENT Serial No. 09/929,236

The undersigned incorporates by reference the statements made in its prior petition and makes the following additional statements in support of this request for reconsideration:

- The Notice of Non-Compliant Amendment was not received by applicant or the undersigned.
- 2. A search of the file jacket for the application indicates that the Notice was not received. Had the Notice been received, its receipt would have been entered on the front of the file jacket for the application because it is applicant's standard practice to record the receipt of communications from the Patent Office on the front of the relevant file jacket. A copy of the front of the relevant file jacket was submitted previously showing that the Notice was not received. The file jacket is part of applicant's docket records.
- 3. A search of applicant's electronic docket records indicates that the Notice was not received. Had the Notice been received, its receipt would have been entered in applicant's electronic docket because it is applicant's standard practice to record the receipt of communications from the Patent Office in the electronic docket. A copy of applicant's electronic docket including entries from April through May 2004 where the non-received Notice would have been entered had it been received is attached.
- 4. The undersigned also points out that the Notice is identified on the Patent Application Information Retrieval (PAIR) system under the tab labeled "Transaction History," but a copy of the Notice is not available under the tab labeled "Image File Wrapper." Copies of other documents from April
- Page 2 REQUEST FOR RECONSIDERATION OF A DECISION DISMISSING A PETITION TO WITHDRAW A HOLDING OF ABANDONMENT Serial No. 09/929,236

and July 2004 are available under the tab labeled "Image File Wrapper," but the Notice is not. The absence of a copy of the Notice on the PAIR system is consistent with what Examiner Boyer Ashley told the undersigned in a telephone call on March 15, 2005. As explained in the original petition, Examiner Ashley said he could not find a copy of the Notice and did not know what the basis for the Notice was. The facts that the Notice is not available on the PAIR system and that Examiner Ashley could not find the Notice constitute strong evidence supporting the conclusion that the notice was never mailed and was likely misplaced by the Office. Otherwise, the Notice would be available. The undersigned requests the Office to mail applicant a copy of the Notice or to post the Notice on the PAIR system if the Office has a copy of the Notice.

5. The undersigned hereby declares that all statements made herein of his own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Page 3 - REQUEST FOR RECONSIDERATION OF A DECISION DISMISSING A PETITION TO WITHDRAW A HOLDING OF ABANDONMENT Serial No. 09/929,236

In light of the information provided herein, applicant respectfully requests reconsideration of the decision dismissing the petition to withdraw the holding of abandonment.

Respectfully submitted,

SD3, LLC

David A. Fanning, Esq.

Registration No. 33,233 Customer No. 27630

25977 S.W. Canyon Creek Road, Suite G

Wilsonville, Oregon 97070 Telephone: (503) 570-3200 Facsimile: (503) 570-3303

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office to number (571) 273-8300, on the date shown below.

Date: September 15, 2006

David A. Fanning

_			
10) E	2 Subject	Due Date
3) (SDT-317-China	Mon 2/23/2004
	י ל	* 1447-2004 - Official Fate Directory Listing	Fr i 2/27/200 4
ž		5 SOT-317—China	Fri-3/19/2004
3	_	SDT-201 - References from OA	Mon-3/22/2004
3		SDT-307—References from OA	110n-3/22/200 4
<u>4</u>	_	SDT-311 References from QA	Tue-3/2 3/2004
포	_	SDT-201 References from OA	Tue-3/23/200 4
, z		SOT 305 - References from OA	Ŧ .ue3/30/200 4
3		SDT-324—References from OA	Wed-3/31/2004
₹	ן ה	SDT-315 - References from OA	\/ed -3/31/2004
2	í	507-316Response-to-0A	Wed-3/31/2004
3		SawStop v. Visualize - 53 Motion	Mon-4/5/⊒00 4
3		SDT-326A—References from OA	Mon-4/5/2004
2	ļ	Jody Ackerman	Tue 4/13/2004
3	j F	SDT-323—References from OA	· Wed 4/14/2004
2			Tue 4/20/2004
2		51 1 1 1 5 7 THE STORY OF STREET	Thu-4/22/2004
2		SBT-387 - Response to GA	7 5 <u>1</u> 44 <u>4</u> 2 <u>2</u> 4 <u>2</u> 24 <u>2</u> 004
2		5 DT 322 - Reference from OA (US 298 4268)	7 005/6/2004
2		5DT-314References from-OA (6-veferences)	Wed 5/12/2004
		SDT-336 References from OA (5-references)	. <u>Fri-6/15/2004</u>
2		SDT-343105	S un 7/4/2004
2			Wed-7/7/2004
Ż		SDT-333 - Reference: from GA (4)	Frt- 8/20/2084
2		SDT 324 — Continuation Application	Wed-9/1/2004
Ž		SDT-326AReferences-from-F-QA-(3)	Thu 9/30/2004
2		SawStop v. Visualize	Tue-10/5/2004
3		•	6at 10/23/2001
1	Æ	50T-345	5 0t 11/20/200 4
2	12	Saw Shipments	Wed-12/1/200 4
2	(2	SDT-324A IDS	Wad-12/1/200 4
2	ø	SDT 344, 345, 347 - IDS	Mon 12/20/2004
Ż		SDT-330 - Disclose New Reference from OA	Thu 12/23/20 04
2		SDT-324A Response to Missing Parts	Mon-12/27/2004
3		SDT 346P, 348P, 349P, 350P & 351P File Nonprovisionals	Fri 12/31/2004
1		59T-352P-File Nonprevisional	\$at-1/29/ 2695
		SOT-321 — Disclose-new-references from-OA	Men-3/14/2005
· .		SDT-204-Disclose now-reference-from OA	Wed-3 /23/2005
		DED-305C-IDS	F ri 4/29/2005
		SDT 346, 348-351 Assignment, POA	296~2\758\730Q?
		5DT_306A{D6	Wed 6/8/2005
		Eoli-Robert	Fi+ G/25/2006
		Skyline 112 (Coshon) — Owner's monual	Fr1 -6/23/2006
		SOT 347—Response to OA — FILE CONTINUATION B/17/06	Fri 8/18/2006
		New Ganazii High School	54 9/1/2006
		SawStop warranty parts	Fri 9/15/2006
		SDT 331 - Response to F-OA - FILE NOA 9/15/06	Fri 9/15/2006
		SDT 307 - Response to Decision on Petition SDT 310 - Issue Notification	Sun 9/17/2006
		SDT 337A - QA	Man 9/18/2006
_		SDT 344, 345 - OA	Tue 9/19/2006
2000			Wed 9/20/2006

SAWSTOP LLC PAGE 07/15 09/15/2006 13:12 5035703303

Renee Knight

Subject:

SawStop v. Visualize - SJ Motion

Due Date:

Monday, April 05, 2004

Status:

Completed 100%

Percent Complete: Date Completed:

Wednesday, April 07, 2004

Total Work:

0 hours

Actual Work:

0 hours

Owner:

Renee Knight

Write to Judge Ashmanskas re our SJ Motion (LR 83.13) on April 5, 2004.

Subject:

SDT 326A - References from OA

Due Date:

Monday, April 05, 2004

Status:

Completed

Percent Complete: Date Completed:

100% Thursday, February 12, 2004

Total Work: Actual Work: 0 hours 0 hours

Owner:

Renee Knight

Disclosed new references from OA, 3-month date April 5, 2004 (mailed 1/5/04)?

Subject:

Jody Ackerman

Due Date:

Tuesday, April 13, 2004

Status:

Completed 100%

Percent Complete: Date Completed:

Saturday, April 17, 2004

Total Work: Actual Work:

0 hours 0 hours

Owner:

Renee Knight

Email Jody w/ status of saws.

Subject: Due Date: SDT 323 - References from OA Wednesday, April 14, 2004

Status:

Completed

Percent Complete: Date Completed;

100% Thursday, February 12, 2004

Total Work: Actual Work:

0 hours

Owner:

Renee Knight

Disclosed new references from OA? 3-month date April 14, 2004 (mailed 1/14/04).

Subject: Due Date: SDT 309 - References Tuesday, April 20, 2004

Status:

Completed

Percent Complete: Date Completed:

100% Thursday, February 12, 2004

Total Work: Actual Work: eruod 0 ones

Owner:

Renee Knight

Disclosed references from new OA? 3-month date April 20, 2004 (OA mailed 1/20/04).

09/15/2006 13:12 5035703303 SAWSTOP LLC PAGE 12/15

Renee Knight

Subject:

SDT 318 - References from OA

Due Date:

Thursday, April 22, 2004

Status:

Completed 100%

Percent Complete: Date Completed:

Thursday, February 12, 2004

Total Work: Actual Work: 0 hours 0 hours

Owner:

Renee Knight

Disclosed new references from OA? 3-month date April 22, 2004 (mailed 1/22/04).

Subject: Due Date: SDT 307 - Response to OA Thursday, April 22, 2004

Status:

Completed

Percent Complete: Date Completed: 100% Saturday, April 17, 2004

Total Work: Actual Work; 0 hours 0 hours

Owner:

Renee Knight

Response to Office action due March 22, 2004 (mailed 12/22/03).

Subject: Due Date: SDT 322 - Reference from OA (US 2984268)

_ __ __

Thursday, May 06, 2004

Status:

Completed 100%

Percent Complete: Date Completed:

Saturday, April 17, 2004

Total Work: Actual Work:

0 hours 0 hours

Owner:

Renee Knight

Disclose new reference (US Patent No. 2,984,268 to Vuichard) by May 6, 2004 (OA mailed 2/6/04).

09/15/2006 13:12 5035703303 SAWSTOP LLC PAGE 15/15

Renee Knight

Subject: Due Date: SDT 314 - References from OA (6 references)

Wednesday, May 12, 2004

Status:

Completed

Percent Complete: Date Completed:

100% Saturday, April 17, 2004

Total Work: Actual Work: 0 hours 0 hours

· Owner:

Renee Knight

Disclose new references (6) by 3-month date May 12, 2004 (OA malled 2/12/04).